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DATE MAILED: 08/25/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/667,342	09/23/2003	Simon Robert Walmsley	SIL8	4811
7590 08/25/2004			EXAM	INER
Michael Molins			LE, THIE	N MINH
Level 25 Chifley Sydney, 2000			ART UNIT	PAPER NUMBER
AUSTRALIA			2876	

Please find below and/or attached an Office communication concerning this application or proceeding.

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	Application No.	Applicant(s)			
	10/667,342	WALMSLEY ET AL.			
Office Action Summary	Examiner	Art Unit			
	Thien M. Le	2876	K		
The MAILING DATE of this communication app Period for Reply	ears on the cover sheet with the c	orrespondence ad	ldress		
A SHORTENED STATUTORY PERIOD FOR REPLY THE MAILING DATE OF THIS COMMUNICATION.  - Extensions of time may be available under the provisions of 37 CFR 1.13 after SIX (6) MONTHS from the mailing date of this communication.  - If the period for reply specified above is less than thirty (30) days, a reply If NO period for reply is specified above, the maximum statutory period w - Failure to reply within the set or extended period for reply will, by statute, Any reply received by the Office later than three months after the mailing earned patent term adjustment. See 37 CFR 1.704(b).	86(a). In no event, however, may a reply be tin within the statutory minimum of thirty (30) day rill apply and will expire SIX (6) MONTHS from cause the application to become ABANDONE	nely filed  rs will be considered timel the mailing date of this c ED (35 U.S.C. § 133).	ly. ommunication.		
Status					
1) Responsive to communication(s) filed on	·				
2a) This action is <b>FINAL</b> . 2b) ⊠ This	action is non-final.				
3) Since this application is in condition for allowar closed in accordance with the practice under E	•		e merits is		
Disposition of Claims					
<ul> <li>4)  Claim(s) 1-20 is/are pending in the application.</li> <li>4a) Of the above claim(s) is/are withdrawn from consideration.</li> <li>5)  Claim(s) is/are allowed.</li> <li>6)  Claim(s) 1,5,13-15 and 17-19 is/are rejected.</li> <li>7)  Claim(s) 2-4,6-12,16 and 20 is/are objected to.</li> <li>8)  Claim(s) are subject to restriction and/or election requirement.</li> </ul>					
Application Papers	•				
9) The specification is objected to by the Examine					
10) $\boxtimes$ The drawing(s) filed on <u>9/23/2003</u> is/are: a) $\boxtimes$ a					
Applicant may not request that any objection to the		• • •			
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).  11) The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.					
Priority under 35 U.S.C. § 119					
<ul> <li>12) Acknowledgment is made of a claim for foreign a) All b) Some * c) None of:</li> <li>1. Certified copies of the priority documents</li> <li>2. Certified copies of the priority documents</li> <li>3. Copies of the certified copies of the prior application from the International Bureau</li> <li>* See the attached detailed Office action for a list of the certified copies of the certified copies of the prior application from the International Bureau</li> </ul>	s have been received. s have been received in Applicati ity documents have been receive (PCT Rule 17.2(a)).	ion No ed in this National	Stage		
Attachment(s)					
1) Notice of References Cited (PTO-892)	4) Interview Summary		,		
Notice of Draftsperson's Patent Drawing Review (PTO-948)     Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)     Paper No(s)/Mail Date	Paper No(s)/Mail Da 5) Notice of Informal P 6) Other:		O-152)		

Art Unit: 2876

#### **DETAILED ACTION**

Claims 1-20 are presented for examination.

### Claim Rejections - 35 USC § 102

The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- (e) the invention was described in a patent granted on an application for patent by another filed in the United States before the invention thereof by the applicant for patent, or on an international application by another who has fulfilled the requirements of paragraphs (1), (2), and (4) of section 371(c) of this title before the invention thereof by the applicant for patent.

The changes made to 35 U.S.C. 102(e) by the American Inventors Protection Act of 1999 (AIPA) and the Intellectual Property and High Technology Technical Amendments Act of 2002 do not apply when the reference is a U.S. patent resulting directly or indirectly from an international application filed before November 29, 2000. Therefore, the prior art date of the reference is determined under 35 U.S.C. 102(e) prior to the amendment by the AIPA (pre-AIPA 35 U.S.C. 102(e)).

Claims 1, 5, 13, 14, 15, 17, 18, and 19 are rejected under 35 U.S.C. 102(b) as being anticipated by Matsui (Matsui – 5,897,669).

Art Unit: 2876

Regarding claim 1, Matsui discloses a 2D code comprising marker dots, data dots, address dots and pattern dots. Figure 8 of Matsui shows a various entries which are used to indicate whether the dot is a data dot 1 or a data dot 1, and whether it is a marker dot.

As can be seen, Matsui discloses the claimed invention.

Regarding claim 5, as can be shown in Figure 8, each entry can be independently interpreted according to the color of the indicator.

Regarding claim 13, see figure 1A of Matsui where the data dots are bounded by 4 marker dots.

Regarding claim 14, the marker dots are considered as the claimed locator component.

Regarding claim 15, see Figure 1A of Matsui.

Regarding claim 17, the examiner is of the view that the black marker dots are printed as continuous tone dots.

Regarding claim 18, see the discussions regarding claim 1.

Regarding claim 19, see the discussions regarding claim 1.

## Allowable Subject Matter

Claims 2-4, 6-12, 16, and 20 are objected to as being dependent upon a rejected base claim, but would be allowable if rewritten in independent form including all of the limitations of the base claim and any intervening claims.

Art Unit: 2876

The following is a statement of reasons for the indication of allowable subject matter: The prior art disclose various 2D matrix codes. However, the prior art fails to disclose a specific code comprising a tag format structure having a plurality of entries wherein each entry includes a low order bit which is used to indicate whether or not the associated data dot is data or not in the manner as recited in claims 2-4.

The prior art also fails to disclose the limitations of claims 6-12, 16, and 20.

#### **Conclusion**

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Thien M. Le whose telephone number is (571) 272-2396. The examiner can normally be reached on Monday - Friday from 7:30am - 4:00pm.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Michael G. Lee can be reached on (571) 272-2398. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Art Unit: 2876

Le, Thien Minh Primary Examiner Art Unit 2876 August 23, 2004

# Notice of References Cited

Application/Control No. 10/667,342	Reexamination	Applicant(s)/Patent Under Reexamination WALMSLEY ET AL.		
Examiner	Art Unit			
Thien M. Le	2876	Page 1 of 1		

#### **U.S. PATENT DOCUMENTS**

*		Document Number Country Code-Number-Kind Code	Date MM-YYYY	Name	Classification
	Α	US-6,622,923	09-2003	Walmsley et al.	235/494
	В	US-6,446,866	09-2002	Tatsuta, Seiji	235/454
	C	US-6,364,209	04-2002	Tatsuta et al.	235/494
	ם	US-6,186,405	02-2001	Yoshioka, Kenji	235/494
	ш	US-5,897,669	04-1999	Matsui, Shinzo	235/462.07
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#### FOREIGN PATENT DOCUMENTS

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#### **NON-PATENT DOCUMENTS**

*	* Include as applicable: Author, Title Date, Publisher, Edition or Volume, Pertinent Pages)			
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\*A copy of this reference is not being furnished with this Office action. (See MPEP § 707.05(a).) Dates in MM-YYYY format are publication dates. Classifications may be US or foreign.

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